

# Dynamic Spectrum Management and Routing Solutions for Multi-Radio Mobile Ad Hoc Networks

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**Abstract**—The electromagnetic spectrum’s scarcity, the tactical edge’s dynamic nature, and the variety of tactical operations impose challenges to military cognitive radio networks. Multi-radio dynamic spectrum management (DSM) and routing promise to increase the spectral efficiency and robustness of tactical ad hoc networks by adding key control plane tools that adapt the network to the varying harsh tactical environments. This paper describes a novel concept where implicit spectrum sensing, a distributed DSM architecture with ontology-based spectrum access policies, modified routing and network time synchronization capabilities interplay to address those challenges. The simulation results verify the designed functionality in harsh electromagnetic environments in a multitude of scenario sizes, in terms of number of radio terminals and number of networks. In particular, the simulation of a large operational scenario shows solid scaling capabilities and that ongoing jamming in several networks are efficiently mitigated and low-loss performance are re-established.

## I. INTRODUCTION

**D**YNAMIC spectrum management (DSM) is supposed to provide improvements over today’s fixed frequency allocation in highly dynamic and electromagnetically harsh environments. The DSM potential include increased capacity and connectivity robustness, even in scenarios where the available electromagnetic spectrum is limited, or exhibit challenging conditions due to frequency planning of military coalition operations. Cognitive radio networks are envisioned to provide high bandwidth to mobile users via heterogeneous wireless architectures through DSM [1], [2]. Due to the dynamic nature of the tactical edge and the diversity of tactical operations, the mobile ad hoc network (MANET) technology is considered fundamental for such networks [3], [4].

Tactical edge networks are composed of a variety of platforms (e.g., soldier, UAV, vehicular) and software-defined multi-band radios (e.g., HF, VHF, UHF, SATCOM). Although information can be relayed between these heterogeneous networks through gateways, the capacity of multi-radio terminals is not fully exploited before DSM and routing entities include the multi-radio interfaces into their algorithms. By also adding the concept of time synchronization across networks, these entities will enable a new level of spectrum efficiency, since frequency orthogonality can be obtained between neighbor networks applying frequency hopping in overlapping bands.

This paper presents a radio architecture incorporating integrated wideband sensing, DSM and routing cooperation, and network time synchronization across networks. It also presents specific solutions and simulator implementation blocks for each of these architectural elements. The wideband sensing

entity exploits silent periods in the physical layers of the VHF and UHF frequency hopping waveforms, thus achieving its goals without any delay induced overhead. Sensing detection events signal the start of DSM functionality, which also notifies the routing entity about ongoing mitigation actions.

The outline of the paper is the following: Related work is presented in Section II. The multi-waveform MANET characteristics and challenges are given in Section III, followed by a detailed description of the selected architecture of DSM, synchronization, and routing in Sections IV, V, and VI, respectively. The validation of functionality and performance of selected network architectures are given as simulation results in Section VII, before finalizing the paper with conclusions in Section VIII.

## II. RELATED WORK

After Mitola’s groundbreaking work [5], there has been a lot of research on dynamic use of spectrum, where approaches have ranged from opportunistic access based on sensing the spectrum environment locally all the way to fully coordinated DSM through central decision makers such as spectrum brokers [6]. Going from current spectrum management procedures to DSM is, however, very much still ongoing work [7].

The DSM architecture proposed in our publication was a collaborative part of the EDA MAENA project [8]<sup>1</sup> The MAENA simulator enables detailed simulation of VHF and UHF networks all the way down to propagation and signal processing levels using IQ-sample representation [8]. Other papers that provide results from the MAENA program include [9]–[14]. The MAENA work builds on the EDA CORASMA [15] project. This paper adds to the contributions from these other MAENA related publications by providing a unique composition of solutions from the authoring partners, for the full VHF+UHF DSM, wideband sensing, routing, and time synchronization functionalities. It further provides detailed simulation results for this integration of solutions, for a comprehensive VHF and UHF communications military scenario that also includes jammers.

Part of the DSM solutions use the Satisfaction Equilibrium [16], which has previously been applied to spectrum selection and resource distribution in wireless communication by several authors, e.g., [17]. In our work, a satisfaction algorithm is adapted to the frequency hopping waveforms in the simulator, and it is also tested through the simulations whether

<sup>1</sup>Besides the partners authoring this paper, the MAENA team also consisted of Thales France (coordinator), Thales Germany, Rohde & Schwarz, and Fraunhofer FKIE.

an additional conflict resolution criterion may improve total throughput.

Another part of the DSM solutions, see Section IV, use ontology and policy-based DSM, using the Web Ontology Language (OWL) [18] and SWRL [19] and the SWRL API [20]. The use of ontologies and knowledge processing in radios was demonstrated already by Mitola [5]. Two DARPA dynamic spectrum projects advanced ontology and policy-based spectrum selection [21], [22]. Examples of publications specifically suggesting OWL+SWRL are [23], [24]. A further list of references to ontology-based DSM may be found in [25]. The recent IEEE 1900.5.1 Policy Language for Dynamic Spectrum Access Systems standard is based on OWL 2, but with a specific W3C standardized Rule Interchange Format (RIF), RIF-SCM [26]. In the work in MAENA, we used a custom developed ontology, and the reasoning provided candidate frequencies to the local fast satisfaction algorithm, as explained later.

Further references to prior work related to the various individual solutions that are employed in the simulations can be found in the description of these solutions in Section IV.

### III. MULTI-RADIO MANET CHARACTERISTICS

In our study, the MANETs could operate in either VHF or UHF band, and each radio terminal had 1–4 air interfaces in any combination of VHF and UHF. Figure 1 shows three MANETs (colored red, green, and blue) that are using either VHF or UHF waveforms, and the layering approach of the multi-radio network. The Lower NET is a routing protocol and forwarding functionality inside each MANET, and is thus an integral part of the waveform itself. The Upper NET is a routing protocol and forwarding functionality on top of that, dedicated to the internetworking, i.e., establishing forwarding tables that understand how the packets should be forwarded into another MANET. To support user A to user D communication, the Upper NET must provide forwarding tables in all such gateway radios, in this case, radio B and C. The more multi-radio terminals, the more flexible and reliable such interconnections will become. In a dynamic environment, with mobile users and electromagnetic interference, the challenge for the Upper NET is to keep the forwarding tables updated with an adequate compromise between control traffic load and update frequency.

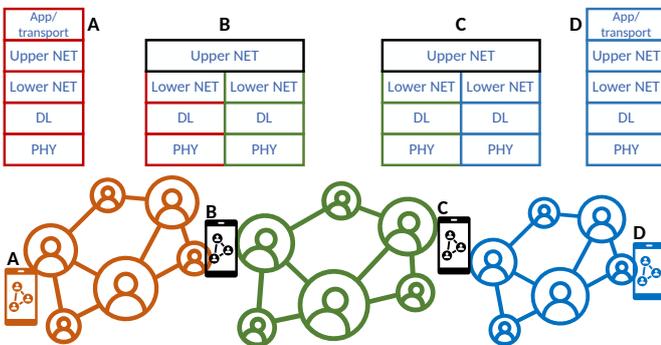


Figure 1. Radio A can reach radio D via B and C acting as gateways connecting three different MANETs.

The spectrum resources assigned to each frequency hopping MANET is assumed initially agreed and used as their initial hopsets, but there could be spare frequencies available to be assigned dynamically. Frequency reuse is applied when found appropriate, and where self-interference can be avoided. E.g., in Figure 1, the red and blue MANETs could be assigned with the same frequencies if the separation is sufficient to avoid interference. Thus, the DSM is in charge of the dynamic frequency coloring of MANETs. The DSM protocol should manage the spectrum resources in such a way that any interference due to mobility or external jammers is handled by algorithms that ensure the frequency hopsets are altered dynamically to avoid unreliable communication due to interference. A part of this solution is the enhanced Network Time Synchronization, that will ensure synchronization between MANETs to obtain a tighter frequency reuse. However, the main conductor is the DSM protocol, which is described in the next section.

### IV. DSM SOLUTIONS FOR MULTI-RADIO MANETS

#### A. On spectrum scarcity, coalition cooperation, jamming and interference resilience

Spectrum use can be intense in large military formations such as coalition deployments, with possibly hundreds of networks within interference reach of one another. When conventional spectrum management processes are applied, all the available VHF and UHF spectrum is often assigned to users and hence formally occupied. Still, all the spectrum is not actually used all the time. Typically, there are spectrum opportunities that can be exploited if the MANET radios can decide which spectrum to use at a given geographical location. Such local DSM is challenging in military networks, as it is also necessary to coordinate with non-communicative activities, such as electronic warfare. Therefore, compromises must be found between spectrum planning and coordination of spectrum use, and being able to swiftly adapt to the actual scenario of the operation.

Besides self-interference, there could also be deliberate jamming. It is important that the VHF and UHF networks have resilience to both of these interference sources. Several design features have been added under the hypothesis that they would contribute to such jamming and interference resilience: (1) The use of combined VHF+UHF network radios with VHF+UHF routing may aid in routing packets around jammed areas; (2) Important control traffic, such as DSM signaling, is sent on both the VHF and UHF bands for redundancy; (3) A local DSM (L-DSM) in every radio reacts fast on sudden self-interference or jamming, by adapting frequency hopping patterns on network-by-network basis with the use of a set of available frequencies, previously provided by a central DSM (C-DSM); (4) The jamming and interference may break the connection to the C-DSM. If this occurs, the solution should continue to work using only local information; (5) A wideband sensing entity should provide reliable information, concerning self-interference and/or external jamming.

#### B. Wideband sensing

Each radio node performs multi-radio narrowband sensing in every hop and gathers the sensing results in a wideband

sensing entity to give a quasi real-time representation of the VHF and UHF frequencies on which the radios are hopping. The solution can be used in military context without any significant effort from the signal processing point of view, as silent periods of synchronized VHF and UHF networks can be exploited based on the characteristics of VHF and UHF waveforms, i.e., the carrier-sense mechanism in carrier sense multiple access (CSMA) or the guard time mechanism in time division multiple access (TDMA).

In this section we first present the general model for wideband sensing and the different test statistics along with simulation results for comparison. Then we describe three wideband sensing approaches and motivate the choice of the proposed wideband sensing approach used by the local DSM. We consider the general hypothesis testing model

$$\begin{aligned} H_0: Y_i &= W_i \\ H_1: Y_i &= X_i + W_i, \end{aligned} \quad (1)$$

where  $X_i$  are the received complex samples of the transmitted signal and  $W_i$  is the complex Gaussian noise. We now consider the random variable  $S_i = \|Y_i\|^2$ , which corresponds to the received energy.

The signal processing algorithms for wideband sensing are based on hypothesis testing. Considering a test statistic  $S$  and a threshold  $\lambda$ , a signal is considered absent ( $H_0$ ) if the test statistic is lower than the threshold and present if the test statistic is higher than the threshold. These two hypotheses can be formulated as

$$\begin{aligned} H_0: S &< \lambda \\ H_1: S &> \lambda. \end{aligned} \quad (2)$$

The different test statistics considered are the energy detection (ED) statistic, the Anderson Darling (AD) statistic and the LLR-based (ZA) statistic

$$\text{ED: } S = \sum_{i=1}^N \|Y_i\|^2, \text{ and } \lambda = \sigma^2 \chi^{-1}(1 - P_{fa}, 2N),$$

$$\text{AD: } S = N - \frac{\sum_{i=1}^N (2i-1)(\ln F_0(X_i) + \ln(1 - F_0(X_{N+1-i})))}{N}$$

and  $\lambda$  as in [27],

$$\text{ZA: } S = - \sum_{i=1}^N \left[ \frac{\ln F_0(X_i)}{N-i+0.5} + \frac{\ln(1 - F_0(X_i))}{i-0.5} \right]$$

and  $\lambda$  as in [28].

(3)

Under the  $H_0$  hypothesis, the variable  $S$  is chi-square distributed with  $2N$  degrees of freedom. Data fusion schemes are also applied to the test statistics for cooperative wideband sensing [29].

Figure 2 shows the narrowband performance of ED, AD and ZA with the number of samples  $N$  and a probability of false alarm 0.05. It follows that ED > AD > ZA (where > denotes "better than") with a gain of approximately 2 dB by doubling  $N$ .

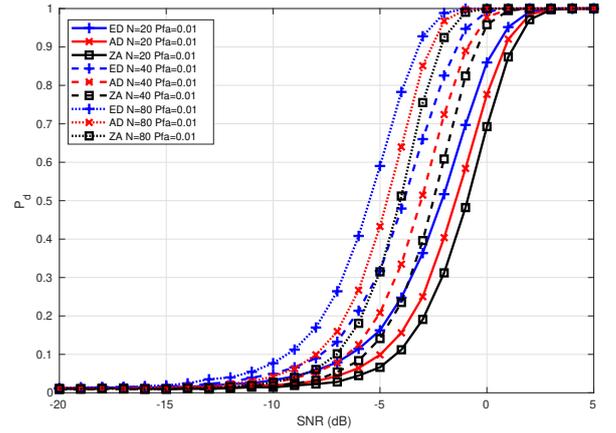


Figure 2. Narrowband performance of ED, AD and ZA with  $N$ .

Figure 3 shows the narrowband performance of cooperative AD with  $N = 80$  and a probability of false alarm 0.05. Different levels of cooperation are evaluated: full samples knowledge, soft equal gain combining, hard OR and hard AND. It follows that cooperative full sample transmission (not realistic) > soft equal gain combining > hard OR > hard AND.

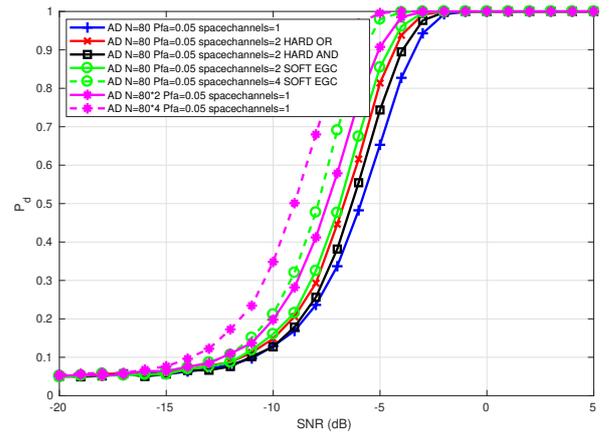


Figure 3. Narrowband performance of cooperative AD.

Figure 4 shows the wideband performance of AD with different number of channels, and where the number of samples  $N = 80 \cdot N_{\text{fft}}$ ,  $N_{\text{fft}}$  being the FFT size and a probability of false alarm 0.05. It follows that a detection per channel > detection per bin.

Figure 5 shows an implementation of wideband AD with detection per bin (left) and detection per channel with cooperation using a universal software radio peripheral (USRP) B205 mini device, showing what channel can be used for transmission in green.

Several wideband sensing approaches have been evaluated with the VHF/UHF waveforms. Although for low power transmission the wideband sampling (first approach) with direct RF or direct conversion architectures is of great advantage, for military handheld radios (5W) or vehicle radios (50W), this

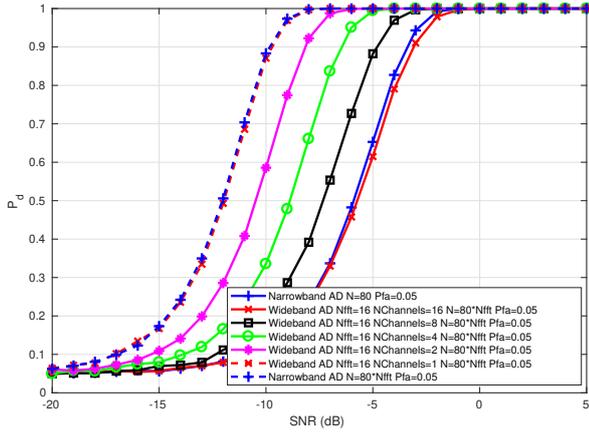


Figure 4. Wideband performance of AD.

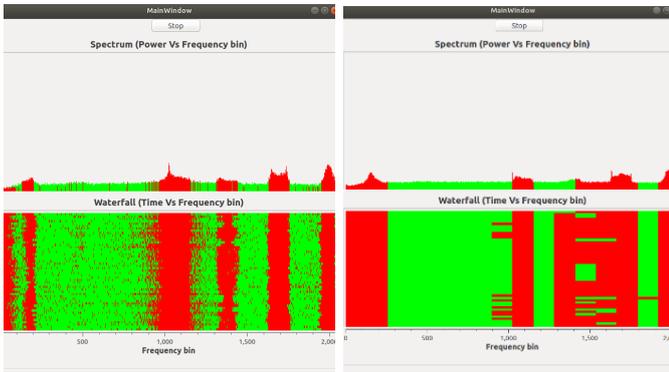


Figure 5. Implementation of wideband AD with detection per bin (left) and detection per channel with cooperation (right).

does not work if there is another radio in the vicinity, requiring a traditional RF architecture. More research is necessary in the domain of RF architectures to have electronics capable of handling 30 dBm blockers for wide bandwidths. The second approach based on the reservation of slots of the VHF/UHF waveforms for wideband sensing requires a significant amount of time to have a representation of the VHF and UHF frequencies on which the radios are hopping and has an impact on the capacity of the VHF and UHF networks. Therefore, a third approach using silent periods in each hop of the VHF/UHF waveforms for wideband sensing is the preferred approach. This approach allows a faster representation of the VHF and UHF frequencies on which the radios are hopping and has no impact on the capacity/throughput/performance of the VHF and UHF networks.

An illustration of the solution is given in Figure 6. Each silent period (gap) of each hop is exploited to perform a narrowband sensing/estimate of the noise/interference power, such that a first wideband sensing representation at the local node can be drawn after several hops and updated every hop.

The VHF waveform is based on 25 kHz STANAG NBWF, while the UHF waveform is a 1.25 MHz SC-FDMA waveform [15], [30]. With the VHF waveform, silent periods (gaps) from 2 to 5 ms already exist within its TDMA slots, which

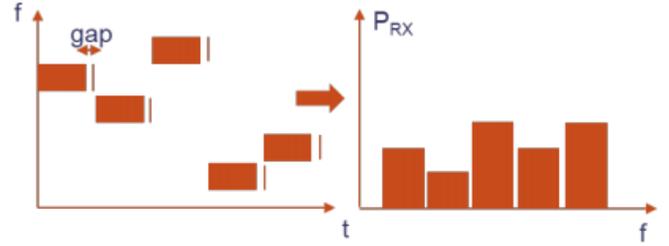


Figure 6. Representation of wideband sensing by exploiting silent periods (gaps) in each hop.

correspond to 960 or 2400 samples at 480 kbps for 2 ms or 5 ms. This amount of samples is more than enough to have an accurate detection/estimation of interference/noise power (results are given for 20-80 samples). Taking into account a hopping rate of 44 hops/s and a bandwidth of 25 kHz, at least 52 seconds are necessary to have a representation of the complete VHF spectrum 30-88 MHz if all channels are used for frequency hopping. With the UHF waveform, a silent period of 100 samples already exists within its TDMA slots. Taking into account a hopping rate of 600 hops/s, a sampling rate of 2 Msps and a bandwidth of 1.25 MHz, at least 0.23 seconds are necessary to have a representation of the complete UHF spectrum 225-400 MHz if all channels are used for frequency hopping.

### C. DSM design

The proposed DSM architecture has three DSM entities and one wideband sensing entity, see Figure 7. These entities are present in every radio terminal to enable the distributed and robust DSM concept. In the figure, the wideband sensing entity (as explained in previous section) is shown in yellow and the central DSM (C-DSM) is shown in red. The local DSM is divided into two parts: the "Fast L-DSM" (green) and the "Intermediate L-DSM" (blue). Their remote counterparts, i.e., entities in other radio terminals in the network, are shown in their respective colors, but within a dashed outlined box. In short, the DSM concept is as follows:

- 1) The Fast L-DSM is fostered with local wideband sensing information, to enable fast reaction in case of interference (jamming or neighbor network interference).
- 2) To validate interference, it will also query sensing values from nearby radio terminals, using over-the-air (OTA) signaling (black thick arrow in the figure).
- 3) If validated, it will replace any interfered frequencies in the current hopset by selecting replacement frequencies from a set that has been provided by the Intermediate L-DSM.
- 4) The Intermediate L-DSM has provided this list based on available frequency spectrum given by C-DSM, and neighbor network information such as geographical positions, using ontology reasoning. Due to already conducted C-DSM OTA signaling, the list of replacement frequencies will be identical to all radio terminals belonging to the same network, and is immediately available.

The architecture is motivated by the challenges that DSM systems face in military scenarios, with possibly intermittent

or broken connections to C-DSM entities, and rapidly varying local spectrum availability. Dividing the DSM into three parts enables a loose coupling between the holistic spectrum management system and the local DSM, such that fast local responses to local changes in spectrum availability are possible even without online connectivity to C-DSM. The roles and interplay of the three DSM entities are outlined more in detail in the following.

1) *C-DSM*: holds the information about which parts of the spectrum are available at a given location and a given time. Benefiting from Semantic Web technology, the information is in the form of an ontology (“computable conceptual model”) [25], [31] in the Web Ontology Language (OWL) [32], as suggested previously in the literature [23], [25]. When there is a change to the ontology, the new ontology is sent from the top-level C-DSM to the other C-DSMs. Changes to the ontology are supposed to be infrequently needed and are therefore not included in the relatively short-duration simulations published in this paper. The ontology holds Spectrum Elements, and the information in each spectrum element includes location, frequency range, time, and spectrum access mode (e.g., open sharing or exclusive use). In the implementation in the simulator, the ontology is populated according to the spectrum resources defined available in the initialization files of the actual simulation performed, and all spectrum resources are considered available for open sharing among networks.

The C-DSM also holds a snapshot spectrum use database, that keeps track of all networks dynamics when it comes to frequency use and geographical positions, inspired by IEEE 802.22 [33]. The information is stored in a table indexed with unique communication network IDs. At local spectrum changes or radio terminal position changes (more than a given threshold, e.g., 250 meter has been used in our simulations), the Fast L-DSM informs the Intermediate L-DSM about the change and about the network’s mean geographical position, and the Intermediate L-DSM relays this information to C-DSM, in order for the snapshot spectrum database to be updated. Selected “head” C-DSM radio terminals will broadcast such updates over-the-air along with network ID and location.

2) *Intermediate L-DSM*: provides “free and available channels” in a given local area. It does this by reasoning on the latest copy of the ontology [23], [25] using the Semantic Web Rule Language Application Programming Interface (SWRL API) [19], [20], to find the available channels at the current time and location. Further, it finds which of the available channels are free, by performing requests to the C-DSM spectrum use database.

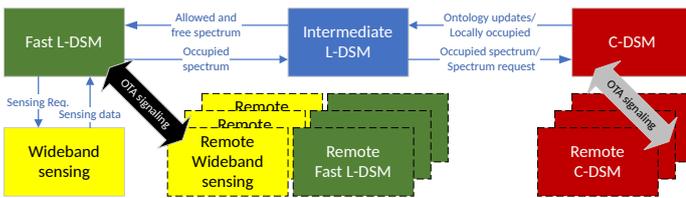


Figure 7. The DSM architecture with three DSM entities and the wideband sensing entity. Thin arrows indicate local entity communication in each radio, while thick black or grey arrows shows OTA communication.

3) *Fast L-DSM*: is responsible for the actual spectrum that is used in a network. It gets available and free frequencies from the Intermediate L-DSM, as well as sensing data from the wideband sensing entity, and performs the spectrum change if needed.

The core algorithm [34] of Fast L-DSM is based on the well known Satisfaction Equilibrium [16] principle, where the goal is to converge to a state where “all agents are satisfied by their payoff under their satisfaction function and do not need to change their strategy when they are satisfied” [16]. The algorithm has a built-in geographical priority resolution mechanism to solve DSM spectrum conflicts between communication networks.

In the following,  $C_i$  is the hopset used by the communication network entity  $i$ , consisting of one or more individual channels  $c_k$ .  $\Omega$  is the set of  $M$  available channels (or spectrum segments) received from the local intermediate DSM module.  $\Psi_i$  is the set of all communication network entities, not including  $i$ , that are within interference range of entity  $i$ .  $\Psi_{W \setminus i}$  is the set of all communication network entities, not including  $i$ , that are within interference range of entity  $i$  and that are positioned to the west of  $i$ . We denote  $\Psi_{X \setminus i} = \Psi_{W \setminus i}$  if location data for the communication networks are known, otherwise  $\Psi_{X \setminus i} = \Psi_i$ . The subset of channels that are in use as seen from the communication network  $i$  is  $\hat{\Omega}$ ,

$$\hat{\Omega} = \bigcup_{j \in \Psi_{X \setminus i}} C_j, \quad (4)$$

and the available channels that are not used by other networks within interference range are

$$\bar{\Omega} = \Omega \setminus \hat{\Omega}. \quad (5)$$

When there is a need to modify the current hopset, the set of channels from which the new channel in the hopset is selected from is

$$\tilde{\Omega} = \bar{\Omega} \setminus C_i. \quad (6)$$

In the implementation in the simulator, the Fast L-DSM detects the need for hopset change, and assesses the possibility for hopset  $C_i$  modifications, if at least one of these two situations occur:

- i) Its local wideband sensing entity reports unusual high noise levels, or
- ii) An incoming control message from a remote Fast L-DSM entity instructs it to act.

In case i), and only a single or a small portion of the used spectrum reports such noise levels, the radio will broadcast a message requesting any receiving radios within the MANET to respond with its noise levels on the indicated frequency. If any response is confirming a value above threshold, the Fast L-DSM will proceed to calculate a modified hopset, and to share this information using OTA broadcast signaling. This “Change current hopset frequencies” message includes old and new frequencies, as well as the time of change. The time for change is selected with some latency, to allow all radios to receive the control message prior to this time instant.

In case ii), and a large part of the used spectrum reports such noise levels, the radio terminal will conclude that Fast

L-DSM operations must take place, without asking remote radios for confirmation. The rationale for this is to increase the probability of successful jamming mitigation when the spectrum is severely affected.

4) *DSM entities interplay*: When the Fast L-DSM has decided it needs to replace one or more frequencies in the hopset, it will get the frequency candidate list of “free and available channels” from the Intermediate L-DSM, by supplying to the Intermediate L-DSM the radius of the considered interference range as well as a Boolean “Siderule” parameter. When the “Siderule” parameter is true, only occupied channels to the west of own position are included when calculating free spectrum, whereas spectrum occupied by MANETs to the east is always considered free and can be used. In this manner, any spectrum conflicts may migrate eastwards, to possibly be resolved later at the edge of the theater.

Using the list of “free and available frequencies”, the selection of a replacement frequency is performed totally distributed by each radio, by seeding its pseudo random engine with the index of the affected interfered frequency. Since all radio terminals belonging to the same MANET will generate the same list of possible new frequencies, they will all select the same replace frequency. Only in the case of wideband jamming this condition may be broken, since the terminals might not detect all affected frequencies in the same detection event. To tackle such situations, a conflict resolution algorithm is included with a mitigation strategy to always accept the lowest replacement frequency suggestion. This strategy also accepts to delete a frequency completely, in case the list of available replace frequencies has been emptied. The rationale in having a distributed replacement frequency algorithm is to speed the reaction time, and being completely dynamic in constructing updated hopsets.

When a MANET is severely interfered, reliable control signaling can be compromised, and this makes it challenging to ensure that all radios that belong to this MANET will change to a modified hopset simultaneously. A way to alleviate this situation is to utilize overlapping geographical coverage of different MANETs. As an example, a long range VHF network can act as an “umbrella” network to broadcast the selected UHF control messages (Section VII-A).

## V. NETWORK TIME SYNCHRONIZATION

At the individual network level, network time synchronization (NTS) is essential for time synchronous communication schemes such as time division multiple access. It is also essential for maintaining orthogonal frequency hopping patterns among networks. This calls for inter-network NTS and could be called Extended NTS (ENTS). It increases overall robustness and can deliver the best available NTS timing source to different networks, e.g., a time from a global navigation satellite system (GNSS) if that is available. Details of the developed, generic ENTS algorithm can be found in [12]. Herein, the main principles are given.

ENTS is an entity that is active in multi-radio terminals. It takes NTS time information from the NTS algorithms in individual networks, decides which time will be used, and

shares this information to all networks. Since this time is an external master time to the networks, the NTS algorithms must be capable of taking the external master time source. Otherwise, the individual NTS algorithms are not touched. ENTS should be aware of NTS requirements in individual waveforms, such that it does not force them to use a too loose time source. It applies policies set by end users. An example policy is that a GNSS time must be used if available, except if it does not violate the accuracy requirements, which may occur if the GNSS time is delivered from a distant narrowband system.

## VI. ROUTING PROTOCOL SOLUTIONS

### A. The Routing protocol

The Upper NET enables dynamic routing between terminals in different MANETs. The routing solutions use a two-layer approach where the Upper NET layer is responsible for routing between the MANETs while the Lower NET layer is responsible for the MANET internal routing. The Upper NET sees all radios that are reachable by a MANET, via the MANETs internal routing, as one-hop neighbors. Hence, a link in the Upper NET can correspond to a multi hop route in the Lower NET. The routing daemon of the Upper NET is based on a modified version of OLSR, as described in [35].

The aim of the modifications is to reduce the amount of control traffic introduced by the Upper NET by utilizing (i) the Lower NETs capability of broadcasting packets to all radios reachable in a MANET and (ii) the topology information collected by the Lower NETs. The modifications include improved Multipoint Relay (MPR) forwarding rules, compressed control messages, link information from the Lower NET, reactive Hello and TC messages, and link cost aware routing. The additions are further described below:

1) *Improved MPR forwarding rules*: Given the Lower NETs capability of broadcasting packets to all radios reachable in a MANET, the MPR in the Upper NET never retransmits a packet to the receiving interface.

2) *Compressed control messages*: A hybrid compression method is used that combines the vector approach from [35] with the (24-bit) prefix approach in [36], so that the best of the two methods is selected packet by packet. Furthermore, the header of the prefix method is reduced compared to the method described in [36], since only network prefixes are supported.

3) *Link information from the Lower NET*: The detection of links at the Upper NET layer is based solely on information from the Lower NET layer. Links and associated neighbors are removed immediately when the corresponding link is detected as broken.

4) *Reactive Hello and TC messages*: When cross-layer information is available, there is no need to send Hello messages to discover links. Hence, a Hello interval of 512 seconds is used, combined with reactive Hello messages that are sent on link and MPR updates. As in the OLSR standard, TC messages are sent in a reactive manner when changes in the MPR selection set occurs. The TC interval is increased to 60 seconds, at the expense of a possibly slower network merging. However, this is more or less avoided by using the

TC message inspection method [35]. To further increase the robustness, additional control messages are sent after the initial reactively triggered message. It is done in accordance with [35], with a delay of two and five seconds for the first Hello and TC messages, respectively. To limit the amount of control messages, the interval between messages of the same type is at least two seconds.

5) *Link cost aware routing*: The algorithm is modified so that costs can be associated to the links in the Upper NET. The cost is set to one for UHF and to 40 for VHF. Furthermore, the shortest-path algorithm is replaced by an implementation of Dijkstra's algorithm [36].

In addition to the described changes, it is assumed that the main address and interface addresses for each radio are assigned by a predefined address plan. Hence, the Multiple Interface Declaration (MID) messages are replaced by a parse of the address plan during terminal initialization.

### B. The interplay of DSM and Routing

When a MANET, or a part of a MANET, experiences excess number of packet drops due to interference, the architecture with Upper NET and DSM has two measures to resolve the situation. E.g., assume that the green MANET in Figure 1 is severely affected by an external jammer, and that user A can no longer communicate with user D. If there exists a fourth MANET, located just south of the green MANET and that could be used as a bridge between the red and the blue MANET, the Upper NET should react to establish this rerouting. Simultaneously, the DSM entities have started to mitigate the infected part of the spectrum, and in the case there are enough free and available frequencies, a healed green MANET can be provided. While these redundant mitigation activities serve increased robustness, they can also pose some challenges due to increased OTA control traffic. Especially, for the narrow bandwidth VHF MANETs this issue was revealed problematic, and measures were implemented to be as traffic load conservative as possible without sacrificing functionality performance.

In the Fast L-DSM entity, a functionality named Quality Parameter Function (QPF) was defined that should serve spectrum and DSM information to the Upper NET. The following list of functionalities was assessed: (i) Interference levels and jamming situation in the two bands, (ii) Likelihood of receiving enough transmission resources, (iii) Link stability, (iv) Traffic congestion further away in the network, (v) Queue fill, and (vi) C-DSM information about planned forthcoming changes in the military theater. E.g., in (i), the Upper NET could utilize the message "severe interference in network Nx ongoing" to apply smarter routing protocol activities than without this information. The functionality Adaptive Link Selection (ALS) was defined in Upper NET to handle this kind of supplied information. Up to now, only option (i) has been implemented in the simulator, where the ALS saves a locked version of the routing table of the affected MANET air interface in the period when this interface is in jammed state. When the DSM has mitigated a jamming, or a jamming stops, the saved routing table will be reinserted, enabling a significant faster reschedule of packet forwarding through Upper NET.

## VII. SIMULATION RESULTS

In the MAENA project, the MANETs investigated were using VHF and UHF frequency hopping waveforms with a hopset size of 3–12 physical frequencies. The VHF waveform is based on 25 kHz STANAG NBWF, while the UHF waveform is a 1.25 MHz SC-FDMA waveform [15], [30]. The most prominent delivery of this project was the "MAENA simulator". It is based on OMNEST simulator (the commercial version of OMNET++ framework), but with its own network scenario definition tool (a Human-Machine Interface that defines XML code, translated to OMNEST .ini files when parsed), and performance metric database with dedicated visualization tools. The built simulator is not a conventional event based network simulator, since it also includes the PHY layer with channel coding and modulation I/Q sample waveform details. In addition, it includes synchronization capabilities, large and small-scale fading modeling, co-site interference, and path loss calculation using digital terrain maps. These features come at a cost of increase in CPU load and time of simulation experiments, but produce results with much improved credibility.

In the following, some results demonstrating the performance of the DSM and routing architecture in challenging network scenarios are shown.

### A. DSM/routing testing in small scenario

The main purpose of testing this small-scale network was to validate the DSM and routing capabilities with radios having up to four air interfaces, and that interfered frequencies in both VHF and UHF networks could be mitigated using signaling in both VHF and UHF networks. Additionally, the scenario should unmask the performance effect of DSM Siderule and ALS.

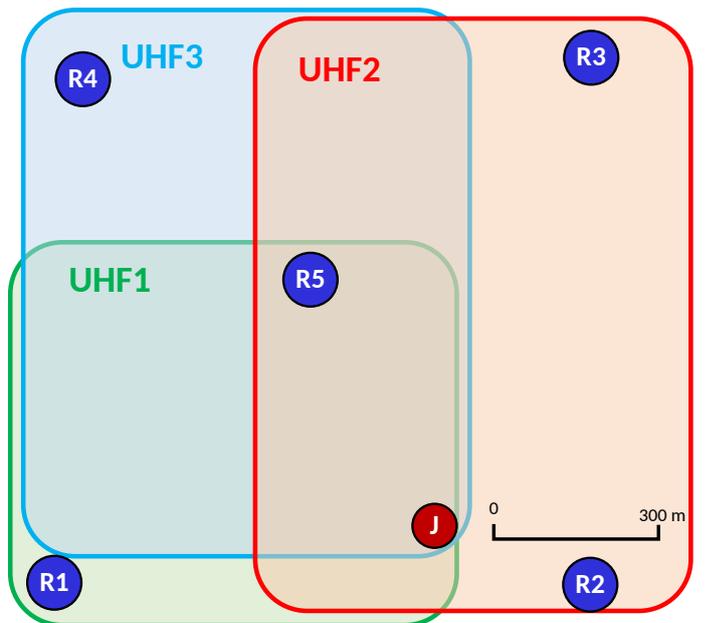


Figure 8. The small scenario has 5 radios (Rx) and one jammer (J). There are 3 UHF networks and one "umbrella" VHF network (including all five radios).

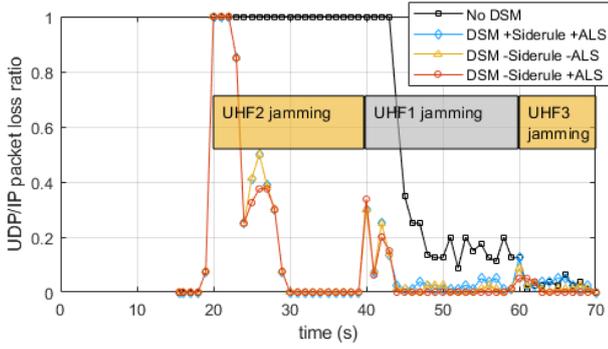


Figure 9. Sequential jamming – UDP/IP packet loss ratio maximums from all five flows, in four different DSM cases: No DSM, and DSM with and without Siderule and ALS.

The presented scenario in Figure 8 includes five radios (R1–R5), three UHF networks, and one VHF network. R5 is serving as a gateway between all UHF networks, since it has 3 UHF (and 1 VHF) air interfaces. The other radios have one VHF and one UHF air interface. There are five UDP/IP flows lasting from 15 until 70 s:

- 1) R1 to R2 via R5,
- 2) R2 to R3,
- 3) R3 to R4 via R5,
- 4) R4 to R5, and
- 5) R5 to R1,

all with a bit rate 40 kbit/s each. UHF2 is jammed at 20–40 s, UHF1 at 40–60 s, and UHF3 at 60–70 s. The VHF network is jammed at 30–40 and 50–60 s.

Figure 9 shows the packet loss ratio of all flows in four different cases. The black line shows the loss when the DSM mechanism is disabled, i.e., the conventional frequency management reference case. The UHF2 jammer strikes hard between 20 and 40 seconds on the flows R1-R5-R2 and R2-R3. Due to longer range between the jammer and the affected radios in the 2<sup>nd</sup> and 3<sup>rd</sup> jamming events, the losses here are more restricted.

The blue line shows the loss ratio when DSM is activated with the Siderule and ALS functionality. The 1<sup>st</sup> DSM jamming mitigation is completed around 24 seconds, but due to independent operations between routing protocols of Lower NET and Upper NET, it often experiences a second phase of packet losses between 26–28 s. The latter is concluded as an artifact that could be addressed in a possible follow-up activity. Due to the Siderule only the eastern UHF2 DSM is taking into consideration other UHF MANET spectrum use, the activated replace frequencies of UHF1 (at 44.0 s) and UHF3 (at 64.0 s) might interfere with UHF2. This causes the residual packet loss events seen.

The red line shows the loss ratio when the Siderule functionality is off (but ALS on), which made the UHF networks to consider all their neighbor networks' spectrum use. As seen, after jamming mitigation, further packet losses are totally absent. The results are inline with our design goals, since also the number of spare frequencies were sufficient in establishing orthogonality between the neighbor networks. The yellow line shows the results when ALS is off and reveals its benefits.

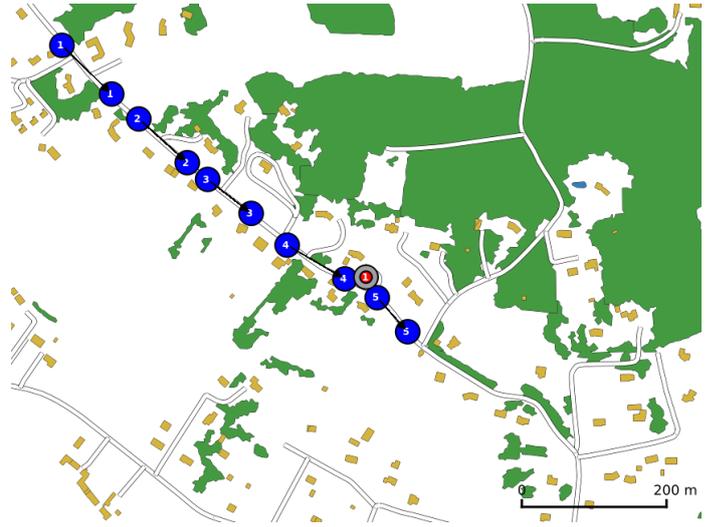


Figure 10. The radio terminal 1–5 locations with their movements, and the wideband jammer location (red circle).

### B. Wideband frequency jamming

In the next scenario, five mobile VHF+UHF radios (R1–R5) are moving along a road at a speed of approximately 10 km/h, see Fig. 10. There is a wideband UHF jammer located just beside the road as they are passing, and there are four UDP/IP packet flows between a subset of the radios:

- 1) R1 to R4,
- 2) R2 to R4,
- 3) R3 to R1, and
- 4) R4 to R1.

A hopset of five frequencies is used in the UHF network, and the jammer starts emitting power on all these frequencies starting at 20 s. The latter is observed in Fig. 11 as five black lines. The DSM algorithm is started by sensed jamming levels above threshold at terminals R4 and R5, and all radios change all frequencies independently and equally, starting at approximately 23.5 s. This fast reaction is achieved partly because of the special detection of *wideband* frequency jamming: as mentioned before, the DSM algorithm skips the OTA handshake signaling requesting for remote sensing values. When R4 and R5 plan their frequency change, they inform that this is already decided, and signals this in one-way OTA command. The receiving terminals (R1–R3) obey this command. Figure 12 shows that the 4 UDP flows experience packet losses (averaged over 9 runs) between 20–23.5 seconds, but after that the packet loss decays fast to zero. Note that the total frequency resource budget was constructed of the 5 initial used frequencies plus 7 spare frequencies, and DSM Siderule was enabled.

### C. Frequency jamming of companies and platoons in large operational scenario

In order to validate the DSM and routing algorithms in larger operational scenarios, a multi-company scenario was implemented, consisting of 157 radios divided into 6 companies and 19 platoons. Four of these companies, Company 1–4,

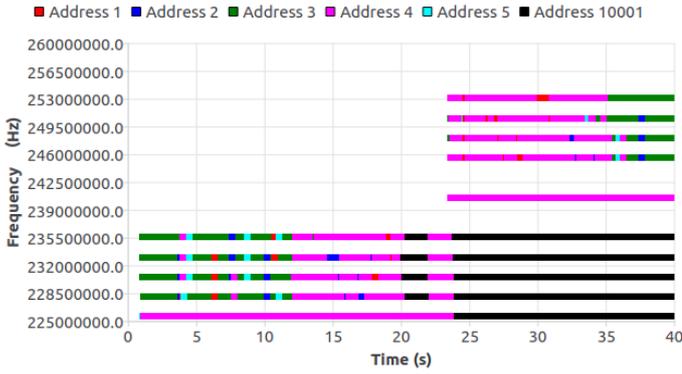


Figure 11. Transmitter activity all radios in UHF band — the wideband jammer (address 10001) starts at 20 s, and new frequency hopset is ready and in use at approximately 23.5 s.

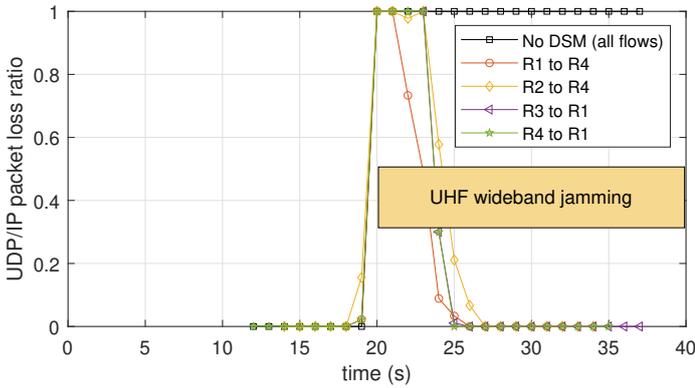


Figure 12. Wideband jamming — UDP/IP packet losses decline fast to zero in period 23.5–26 s due to the DSM jamming mitigation success. When DSM is disabled, the packet loss is 100 % for all flows.

have their own dedicated UHF network named UHF\_A, \_B, \_C, and \_D, as well as Company VHF networks named Cmp1–Cmp4, respectively. Each of these company terminals are also attached to one of three platoon VHF networks, e.g., Company 4 terminals are connected to Plt\_4\_1, Plt\_4\_2, or Plt\_4\_3. In addition, there is a UHF\_F network which members are a sub-selection of members from Company 1–4: this ensures a possible all-UHF route path for IP flows between different companies. Each VHF network uses 10 frequencies and each UHF network uses 4 frequencies in their hopsets, respectively. There are 19 spare UHF frequencies in the scenario. Both VHF and UHF air interfaces transmit with 50 W power.

In the following investigation, the focus will be on the statistical performance of 11 UDP/IP flows where the source or the destination (or both) are inside Company 4. The UDP static rates are either 40 or 160 kbit/s, and only the UHF networks have sufficient capacity to deliver this traffic without packet losses. Table I gives an overview of these 11 flows: which terminals they are between (column 2), which networks these terminals are connected to (column 3–6), and the distance between source and destination and the distance between the UHF jammer and the destination terminal (column 7).

In this scenario there is one UHF jammer and one VHF jammer. The 50W UHF jammer attacks one single frequency

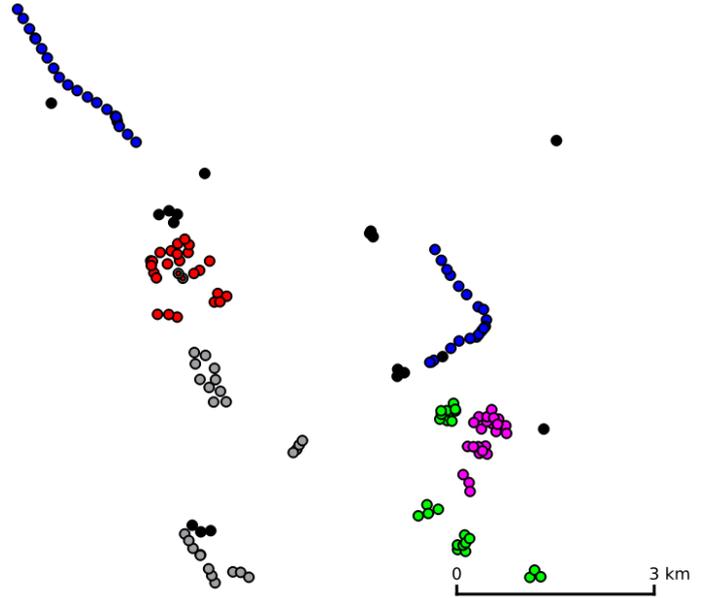


Figure 13. The operational scenario has 157 radios in total. The two jammers attacks frequencies used in Company 4 (the 22 nodes in red color, the two jammers are located at their gravity position).

used in Company 4 UHF\_D (UHF\_D radio positions are colored red in Fig. 13 and Fig. 14). The 50W VHF jammer, located close to the UHF jammer, attacks two frequencies in Plt\_4\_1, Plt\_4\_2, and Plt\_4\_3, i.e., 6 VHF frequencies in total. The VHF and UHF jamming lasts from 35.0 s until simulation ends at 100.0 s. The 11 UDP/IP traffic flows all start at 40.0 s and end at 90.0 s. This means that if the DSM system can mitigate the damage within 5.0 s the UDP/IP statistics should show losses close to zero. In Table I we have also included cells to show the *expected* results of each flow in applying DSM or not. This is based on (i) the inspection of available connected networks of the sender and receiver terminals, and (ii) the knowledge of that the jamming will result in, if no DSM, malfunction of networks UHF\_D, Plt\_4\_1, Plt\_4\_2, and Plt\_4\_3. In case DSM is available, the expectation is that these four networks are fully recovered before the UDP/IP flows start. These expectations are labelled either “high”, “medium”, or “low”. The averaged simulation results (eight different seeds simulated) are shown in the respective cell below.

When reading the simulation result statistics of Table I, the following main observations are made:

- 1) Flows 1, 2, 4, 5, 9, and 10 suffer badly in UDP packet loss when DSM is not available. The DSM solutions are able to produce either zero loss or a small percentage of packet loss. For some flows, e.g., flow 2, the different simulation seeds provided largely different results, indicated with a range of percentage packet loss instead of a single average value.
- 2) Flow 3 sees almost no loss, even without DSM. The reason is that the Tx–Rx distance is small, and only half the distance to the jammer. Similar, flow 6 has the same or even better conditions.
- 3) Flows 8 and 11 are managed well without DSM, due to the connection to the UHF\_F network. As seen, the

Table I  
LARGE OPERATIONAL SCENARIO — DETAILED AVERAGED RESULTS (UDP/IP FLOWS PACKET LOSS, E2E DELAY AND NUMBER OF HOPS)

UDP/IP		Radio node air interface network connection				Dist. (m) Tx-Rx	Expected loss, vs. simulated loss			Exp. #of hops sim. #of hops		Expected delay, vs. simulated delay (s)		
Flow #	Src — Dst	AirInt 1 (all VHF)	AirInt 2 (all VHF)	AirInt 3 (all UHF)	AirInt 4 (VHF/UHF)	Dist. (m) Rx-jammer	No DSM	Side-rule	No Side-rule	No DSM	DSM	No DSM	Side-rule	No Side-rule
1	77	Cmp4	Pit_4_1	UHF_D	-	1027.8	high	low	low	low	low	high	low	low
	89	Cmp4	Pit_4_2	UHF_D	-	722.1	high	0-20%	0-5%	1.6	1.8	6.0	0.8	0.8
2	81	Cmp4	Pit_4_1	UHF_D	-	4847.7	high	medium	medium	high	high	high	?	?
	10	Cmp1	Pit_1_1	UHF_A	-	4601.3	81%	10-25%	0-25%	6.0	4.8	4.0	1.8	1.8
3	85	Cmp4	Pit_4_2	UHF_D	VHF_Data	301.8	high	low	low	low	low	high	low	low
	86	Cmp4	Pit_4_2	UHF_D	UHF_F	601.4	1.0%	0%	0%	1	1	0.35	0.14	0.14
4	86	Cmp4	Pit_4_2	UHF_D	UHF_F	715.4	high	low	low	low	low	high	low	low
	95	Cmp4	Pit_4_3	UHF_D	-	184.2	98%	0%	0%	2.5	2.1	1.2	0.8	0.8
5	87*)	Cmp4	Pit_4_2	UHF_D	-	923.3	high	low	low	low	low	high	low	low
	89	Cmp4	Pit_4_2	UHF_D	-	722.1	53%	0-5%	0-5%	1	1	6	0.55	0.55
6	91	Cmp4	Pit_4_2	UHF_D	VHF_Data	118.7	high	low	low	low	low	high	low	low
	92	Cmp4	Pit_4_3	UHF_D	UHF_F	516.5	0%	0%	0%	1.1	1	2.1	0.25	0.25
7	91	Cmp4	Pit_4_3	UHF_D	VHF_Data	432.4	high	low	low	low	low	high	low	low
	79	Cmp4	Pit_4_1	UHF_D	MrtFireCtrl	272.5	0%	0%	0%	2	2	7	0.45	0.5
8	92	Cmp4	Pit_4_3	UHF_D	UHF_F	5565.9	low	low	low	low	low	low	low	low
	1	BtlCmd	Cmp1	UHF_A	UHF_F	5080.6	0%	0-10%	0%	1.8	1.8	1.6	2	1.6
9	93*)	Cmp4	Pit_4_3	UHF_D	-	849.0	high	low	low	low	low	high	low	low
	96	Cmp4	Pit_4_3	UHF_D	-	485.5	51%	0%	0%	1	1	6	0.15	0.15
10	57	Cmp3	Pit_3_1	UHF_C	-	5507.0	high	medium	medium	high	high	high	low	low
	82	Cmp4	Pit_4_1	UHF_D	-	401.8	100%	10-20%	0-15%	6	5.5	7	1.8	1.8
11	62	Cmp3	Pit_3_2	UHF_C	UHF_F	5156.2	low	low	low	low	low	low	low	low
	86	Cmp4	Pit_4_2	UHF_D	UHF_F	601.4	0%	12%	0-12%	1.3	1.2	2	2.2	2.1

\*) 160 kbit/s flow, the rest is 40 kbit/s flows

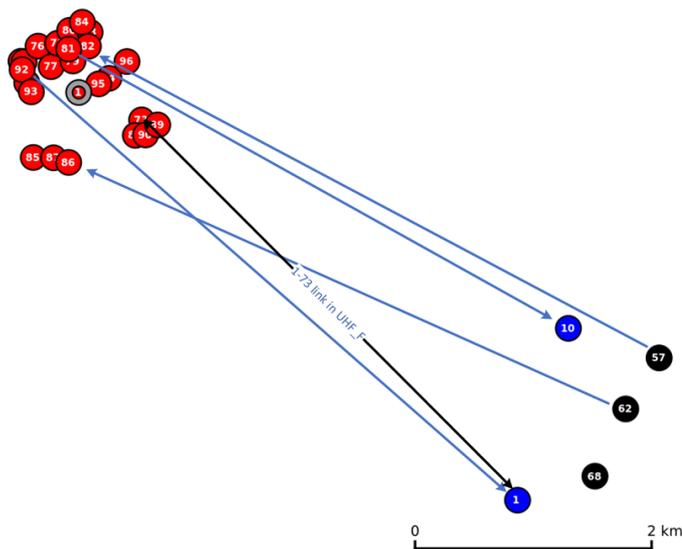


Figure 14. Four of the UDP/IP flows are shown here, as they communicate between Company 4 and Company 1 or 3. The UHF\_F network's shortest possible link is shown in black (4.5 km). All UHF\_D radios are shown (red), but only a subset of the other UHF networks. The UHF jammer is located in the center of UHF\_D with a small "1" inside a circle.

simulation with DSM could result in somewhat worse conditions, most likely due to the added signaling traffic competing for network resources.

- 4) DSM with Siderule enabled has marginally slightly more loss than with Siderule disabled. The reason is due to the aforementioned issue, that the frequency replacement mitigation might select frequencies already occupied by

networks to the east of the jammed network.

- 5) Flows 2 and 10 exhibit a high number of hops before reaching the destination. The source/destination terminal pair does not share any common network, and the flows are routed via VHF networks to reach the common UHF\_F network to interconnect. The introduction of DSM with ALS reduces the number of hops and end-to-end delay significantly.

Table II  
LARGE OPERATIONAL SCENARIO — UDP LOSS RATES (%)

Simulation run #	No DSM	DSM +Siderule +ALS	DSM -Siderule +ALS
1	16.8	0.9	0.0
2	19.8	1.3	1.3
3	15.0	1.2	1.3
4	18.3	7.8	1.1
5	16.9	0.0	0.8
6	16.7	1.1	1.2
7	16.9	1.6	1.8
8	15.5	1.1	1.0
Average	17.0	1.9	1.1

In Table II the overall UDP/IP loss statistics for the total of 45 flows (between all radios in the scenario) are shown. As seen, with DSM the packet loss statistics are reduced from 17 % to 1.9 % and 1.1 % on average with and without Siderule enabled, respectively. This is very close to our design goal of zero loss. It can be concluded that the mitigation provided by DSM and routing works as desired, even when applied in an up-scaled large network-of-networks scenario.

#### D. Simulation results wrap-up

The simulation results show that both the DSM and routing entities are working, and that their algorithms create significantly improved results compared to when DSM is not activated (Figures 9 and 12, and Table I and II). The interfered frequencies are replaced by non-interfered frequencies (Fig. 11), and the Upper NET ensures that packet forwarding is resumed when the link is repaired by DSM or another route is found. Table I shows that the solution works efficiently in large scenarios, including many VHF and UHF networks.

### VIII. CONCLUSIONS

This paper has presented the architecture and performance of a collaborative DSM and Upper NET protocol that was developed in the MAENA project for its use in military VHF and UHF ad-hoc networks. The utilized VHF and UHF waveforms also benefit from network time synchronization functionality to assist orthogonality between neighbor networks. It has been shown that both narrowband and wideband frequency jamming can be mitigated after just 3–5 seconds, and packet forwarding resumed shortly after. The architecture also showed good scaling performance in that a large operational scenario was simulated with 157 radio terminals serving 6 companies and 19 platoons. Here, the overall packet losses in 45 UDP/IP flows were reduced from 17 % to 1.1 %. When studying the 11 UDP/IP flows that directly suffered from jamming, many flows experienced dramatic reduction of packet losses when DSM was enabled, such as from 98 % to 0 %. We find this as solid validation that the design goals of the proposed DSM architecture has been accomplished.

### ACKNOWLEDGMENT

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